

(S 10/98)

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No  
**CA9219P**

Total Pages in this Submission

46

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

APPARATUS AND METHOD FOR MONITORING GAMBLING CHIPS

and invented by:

Oliver M. Schubert

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are **Application Elements**:

- ☒ Filing Fee
- ☒ Specification having 35 page(s) and including the following:
- ☒ Title of the Invention
  - ☐ Cross References to Related Applications (if applicable)
  - ☒ Background of the Invention
  - ☒ Brief Summary of the Invention
  - ☒ Description of the Drawings
  - ☒ Description of the Invention
  - ☒ Claim(s) as Classified Below
  - ☒ Abstract of the Disclosure
- ☒ 6 Sheets of Drawings(s) (37 CFR 113) ☐ Formal ☒ Informal
- ☒ Oath or Declaration ☒ Executed ☐ Unexecuted
- ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☒ Power of Attorney ☒ Executed ☐ Unexecuted
- ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☐ Incorporation By Reference -- The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under the above entry, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Computer Program in Microfiche (Appendix)

## Accompanying Application Parts

- ☐ Assignment Papers (cover sheets & documents(s))
- ☐ The prior application is assigned of record to \_\_\_\_\_
- ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
- ☐ English Translation Document (if applicable)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application: /  
Oliver M. Schubert / BOX PATENT APPLICATION  
/   
U.S. Serial No.: N/A /   
/   
Filed: Herewith /   
/   
For: APPARATUS AND METHOD FOR /   
MONITORING GAMBLING CHIPS /

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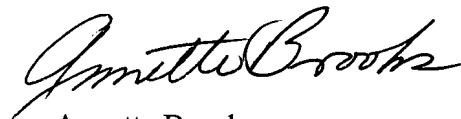
Date of Deposit: **February 19, 1999.**

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231:

1. Return receipt postcard;
2. Check #20047 for \$ 839 ;
3. Patent Application Transmittal Letter;
4. Declaration for Patent Application;
5. Verified Statement Claiming Small Entity Status (Independent Inventor);
6. Specification/Claims 35 pages (specification & abstract (17 pages); and  
71 Claims (18 pages)); and
7. 6 sheets of informal drawings;

Each of the 7 above-identified documents are enclosed herewith.

Respectfully submitted,



Annette Brooks  
Office of Kenton R. Mullins  
Registration No. 36,331

Telephone 949/ 450-1750  
Facsimile 949/450-1764

100 Pacifica, Suite 210  
Irvine, CA 92618

- ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of \_\_\_ IDS Cited Reference(s)  
☐ Preliminary Amendment  
☒ Acknowledgment postcard  
☒ Verified Statement(s) to Establish Small Entity Status Under 37 CFR 1.9 and 1.27  
☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  
☒ Certificate of Mailing by Express Mail  
☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

**Fee Calculation and Transmittal**

\*The filing fee is calculated on the basis of the claims existing in the prior application as amended by the accompanying preliminary amendment noted above.

CLAIMS AS FILED					
For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	71	- 20 =	51	X \$18.00	\$ 918.00
Independent Claims	3	- 3 =	0	X \$78.00	\$ 0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$ 0.00
BASIC FEE					\$ 760.00
OTHER FEE (specify purpose)				assignment recordation	\$ 0.00
(Applicant has small entity status under 37 CFR 1.9 and 1.27)				SMALL ENTITY STATUS	\$ 380.00
adjusted small entity status claim fees [rate + 2]					\$ 459.00
TOTAL FILING FEE					\$839.00

- ☒ A check in the amount of \$ 839.00 to cover the filing fee and the assignment fee is enclosed.  
☐ The Commissioner is hereby authorized to charge and/or credit Deposit Account Number \_\_\_ as described below.  
☐ Charge the amount of \$ \_\_\_\_\_ as filing fee.  
☐ Credit any overpayment.  
☐ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

Respectfully Submitted,



D. Jennings Meincke  
 Attorney for Applicant  
 Registration Number: 36,606

February 19, 1999

Stout, Uxa, Buyan & Mullins, LLP  
 100 Pacifica, Suite 210; Irvine, CA 92618  
 phone (949) 450-1750; fax (949) 450-1764

Docket **CA9219P**

The enclosed patent application of **Oliver M. Schubert** is being filed in accordance with section 1.10 by Express Mail and should be accorded a filing date of **February 19, 1999**.

SEE THE EXPRESS MAILING CERTIFICATE  
ATTACHED TO APPLICATION.